APPLICATION FOR MEMBERSHIP
ESTATE PLANNING COUNCIL OF CENTRAL NEW YORK

Return to: Jennifer Spagnola, Council Executive
Estate Planning Council of CNY
431 East Fayette Street, Suite 100
Syracuse, New York 13202
Telephone: (315) 883-5562
councilexecutive@epccny.org

APPLICANT INFORMATION:

1. Please check professional group with whom membership is sought:

- ☐ Attorney
- ☐ Accountant (CPA)
- ☐ Credentialed Life Insurance Professionals (CLU/ChFC)
- ☐ Credentialed Financial Planner (CFP)
- ☐ Trust Professional
- ☐ Philanthropic Professional
- ☐ Emerging Professional
- ☐ Student
- ☐ Associate

2. NAME: ______________________________

FIRM NAME: ______________________________

FIRM ADDRESS: ______________________________

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FIRM PHONE: ______________________________

FIRM FAX: ______________________________

EMAIL ADDRESS ______________________________

HOME ADDRESS: ______________________________

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HOME PHONE: ______________________________

3. Briefly detail your educational background (including dates of graduation and degrees awarded).

4. Briefly detail your professional background, years of experience, percentage of time you work in the area of estate planning in your profession and your experience (as related to the estate planning field in general, and your membership category eligibility in particular).

5. Current membership in other organizations:

6. Would you be willing to participate in Council Committee(s)? ☐ Yes ☐ No
Would you be willing to be a Speaker/Presenter? ☐ Yes ☐ No
If so, what topic(s) would you be most interested in?

7. Have you published any articles/books or engaged in similar activities in relation to the field of estate planning? Please explain.

8. <u>General comments/general considerations</u>:

The undersigned applicant hereby certifies that I have read and am familiar Article III, paragraph 1, of the most recent Articles of Association of the Estate Planning Council of Central New York, I have regular and active involvement in estate planning and hereby submit my application as qualified for membership in the category designated above.

___________	______________________________	______________________________
Date	Applicant's Signature	Applicant's Name (please print)

9. Sponsor Certifications:
The undersigned sponsor of the applicant hereby certifies that I am familiar with the individual applicant and the applicant's practice, and I am a member in good standing of the Council. I have read and am familiar with Article III, paragraph 1 of the most recent Articles of Association of the Estate Planning Council of Central New York (attached) and sponsor the applicant as qualified for membership in the category designated above. (**At least one sponsor must be a member of the category in which the applicant is applying. If both sponsors are from the same category as the applicant, one must be from a different firm than the applicants.**)

Sponsor Name (please print)	Sponsor Signature	Phone	Years of familiarity with applicant and his/her practice
Co-Sponsor Name (please print)	Co-Sponsor Signature	Phone	Years of familiarity with applicant and his/her practice

For Use By Membership Committee:

Category Open? ____________________

Sponsors Correct? ____________________

Members in Good Standing? ____________________

Date Admitted? ____________________

Acceptance letter sent? ____________________

New Member Initiation Fee Paid? ____________________

Membership Dues Paid? ____________________

EXCERPTS FROM ARTICLES OF ASSOCIATION OF THE ESTATE PLANNING COUNCIL OF CENTRAL NEW YORK

PURPOSES:

The object of the Association shall be to provide a means whereby interested members of the legal, banking, life insurance, accounting, and financial planning professions can become informed as to recent developments in areas of their mutual concern, find areas of mutual interest wherein meaningful discussions can promote better acquaintanceship, understanding and cooperation among the nine (9) groups represented, all to the end that they, cooperatively, may better serve the public.

The Council shall be nonprofit, advisory and educational in character, and shall have no power to bind its members in any action or conclusions not provided for in this instrument.

The Council has not been formed for pecuniary profit or financial gain, and no part of the assets, income or profit of the Council is distributable to or shall inure to the benefit of its members, officers or Executive Committee members.

AREA SERVED:

The geographic area served by the Council shall be the counties of Onondaga, Oswego, Madison, Cayuga, Lewis, Oneida, Jefferson and Seneca of the State of New York.

ELIGIBILITY:

I. Applicants must meet eligibility requirements contained in Article III, paragraph 1, of the most recent Articles of Association of the Estate Planning Council of Central New York:

1. The membership of the Council shall consist of all members in good standing, and shall be open to the following groups:

   (a) Accountants - For admission to the accountants' group, the applicant must be a certified public accountant, have regular and active involvement in estate planning in the geographical area served by the Council, and be properly licensed in New York State.

   (b) Attorneys – For admission to the attorneys' group, the applicant must be admitted to practice law and have regular and active involvement in estate planning, either in their practice of law or otherwise, within the geographic area served by the Council.

   (c) Trust Professionals – For admission to the estate and trust professionals' group, the applicant must be an employee of a Trust Division of a company with trust powers that maintains a full time staffed Estate/Trust Office within the geographic area served by the Council and whose principal duties involve estate/trust planning or administration. Furthermore, the applicant must have a minimum of five (5) years of estate/trust field experience or have earned a CTFA or AEP designation and have regular and active involvement in estate planning.

   (d) Credentialed Life Insurance Professionals - For admission to the credentialed life insurance professionals' group, the applicant must be either:

      (i) An officer or employee of a life insurance company having an office in the geographic area served by the Council, whose principal duties involve estate planning or advanced underwriting at such offices; or

      (ii) An agent, manager or general agent of a life insurance company admitted to do business in the State of New York, who maintains an office for the sale of life insurance in the Central New York area.

      (iii) In either (i) or (ii), such applicant must have at least three years' experience in the field of estate planning, possess the Chartered Life Underwriter (CLU) or Chartered Financial Consultant (ChFC) designation, and have regular and active involvement in estate planning in the geographical area served by the Council.

   (e) Credentialed Financial Planners - For admission to the credentialed financial planners' group, the applicant must have an office within the geographic area served by the Council and hold the Certified Financial Planner (CFP) designation, be a certificant in good standing with the CFP Board of Standards or hold the Chartered Financial Consultant (ChFC) designation or the Personal Financial Specialist (PFS) designation, and have regular and active involvement in estate planning.

(f) Philanthropic Professionals – For admission to the Philanthropic Professionals' group, the applicant must have an office within the geographic area served by the Council and have five years' experience in the field of estate planning and hold the Chartered Advisor in Philanthropy (CAP) designation or Certified Specialist in Planned Giving (CSPG) designation and have regular and active involvement in estate planning.

(g) Emerging Professional – For admission to the Emerging Professional group, the applicant must have one year of professional experience (in one of the above listed six professional designation groups). Members of this category shall enjoy reduced rates for meeting attendance. Membership in this category will be for four years with exceptions to be determined by the Executive Committee of the Council.

(h) Student Membership – For admission in the Student Membership category, the applicant must be enrolled at a higher education institution in an undergraduate or graduate level program or have graduated from a higher education institution within the last year. A student member shall not be required to pay dues for one year while a student and for one year post graduation. Members of this Student Membership shall not vote within the Council shall not be eligible to hold office or be appointed to the Nominating Committee or elected to the Executive Committee.

(i) Associate Members - Any person who has made significant and demonstrable contributions to attaining the objectives of the Council may be elected to membership in the Council as an "Associate Member", upon a majority vote of the Executive Committee members present at a duly convened meeting of the Committee. Any member so elected shall not be considered as belonging to any of the six professional categories set out previously for purposes of membership in the Council. The Associate Member will be assessed initiation fees and dues in the same amounts as other members. An Associate Member will not be eligible for appointment to the Nominating Committee or election as described in Article VII herein.

Specifically included in this category shall be full-time or adjunct educators knowledgeable in the areas of estate planning and business development, directors, CEOs and other similar representatives of not-for-profit entities serving the geographic area served by the Council.

No person shall be considered for membership in this category whose occupation is connected with the practice of law, practice of public accountancy, trust company or trust department operations, sale of life insurance (including management or specialized services in any insurance company, agency or association), or the practice of financial planning and/or investing, unless specifically included by the previous paragraph.

(j) Emeritus Members — Any member of the Council, who has been a member in good standing of the Council for at least 10 years, may, upon retirement, request transfer to emeritus status. Upon approval by the Executive Committee, such retired member shall become an emeritus member. An emeritus member shall not be required to pay any dues, and shall have no vote in any questions coming before the membership. Neither shall an emeritus member be eligible to hold any office, be appointed to the Nominating Committee or be elected to the Executive Committee. Emeritus members shall not be considered in connection with the limitations on membership contained in Article (III)(3) following.

II. Payment of current year's dues upon acceptance.

A. New Member Initiation Fee: $75.00 (Waived for 2021-2022 Year)

B. Annual Membership Dues: $100.00